

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



APPLICANT: Thappa

ATTY DOCKET NO.: THA-P-00-001

SERIAL NO.: 09/695,116

GROUP ART UNIT: 3762

FILING DATE: Oct. 24, 2000

EXAMINER: Unassigned

TITLE: "Closed End Infusion Catheter With an Introducer and a Method for Using Same"

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

ASST. COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

TECHNOLOGY CENTER R3700

JUL 20 2001

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S I R:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted.

☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 CFR §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)

☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 CFR §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.

☐ Payment for the fee set forth in 37 CFR §1.17(p) accompanies this submission; or

☐ The certification specified in 37 CFR §1.97(e) is made below. (No fee is required.)

☐ After the mailing of (1) a final action under 37 CFR §1.113; or (2) a notice of allowance under 37 CFR §1.311 whichever occurs first, but before payment of the issue. The certification specified in 37 CFR §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 CFR §1.17(i)(1) accompanies this submission.

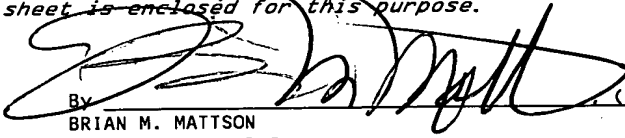
☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of the statement.

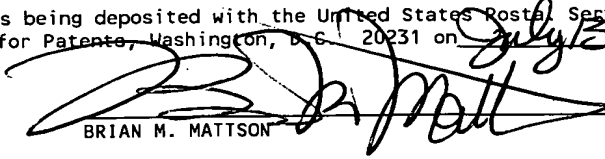
☐ A check in the amount of \$ __ to cover any required fee is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No.50-0595. *A duplicate of this sheet is enclosed for this purpose.*

When phoning re this application,
please call (773) 772-6009

By  (Reg. No. 35,018)
BRIAN M. MATTSON
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I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231 on *July 13, 2001*.

 (Reg. No. 35,018)
BRIAN M. MATTSON



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

APPLICANT: Thappa ATTY. DOCKET NO.: THA-P-00-001

SERIAL NO.: 09/695,116 GROUP ART UNIT: 3762

FILING DATE: Oct. 24, 2000 EXAMINER: NOT ASSIGNED

INVENTION: "Closed End Infusion Catheter With an Introducer and
a Method for Using the Same"

Asst. Commissioner for Patents
Washington, D.C. 20231

SIR:

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In accordance with the provisions of 37 CFR §1.56, Applicant requests that citation and examination of the references identified on the attached PTO-1449 form, copies of which of which are enclosed herewith, be made during the course of examination of the above-mentioned application for U.S. patent. No fee is due for submission of this statement since a first Office Action on the merits has not been mailed by the Patent Office. If, however, any fees are due and owing in view of this communication, please charge Deposit Account No. 50-0595. *A duplicate of this sheet is included for this purpose.*

I. SUBMITTED REFERENCES

A. U.S. PATENTS

<u>PATENT NO.</u>	<u>PATENTEE</u>	<u>ISSUE DATE</u>
4,299,228	Peters	Nov. 10, 1981
4,392,855	Oreopoulos et al.	Jul. 12, 1983
4,491,126	Cullor	Jan. 01, 1985

4,529,399	Groshong et al.	Jul. 16, 1985
4,946,433	Hauser et al.	Aug. 07, 1990
5,098,413	Trudell et al.	Mar. 24, 1992
5,141,499	Zappacosta	Aug. 25, 1992
5,735,829	Cherian	Apr. 07, 1998

II. REMARKS

Copies of the above references together with form PTO-1449 are submitted herewith.

In view of the foregoing, Applicant submits that all claims of the application are patentably distinguishable over the teachings of the above references, taken singly or in combination. Early consideration of the application is, therefore, requested.

Respectfully submitted,



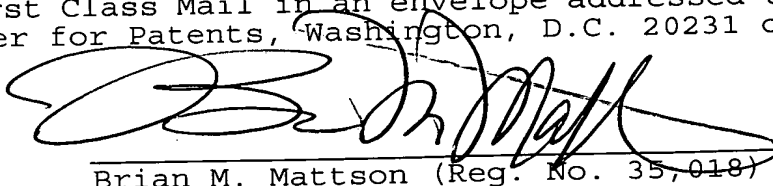
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 ATTORNEY FOR APPLICANT

CERTIFICATE OF MAILING

I hereby certify that this **INFORMATION DISCLOSURE STATEMENT, PTO-1449 AND REFERENCES** are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on

July 13, 2001.



Brian M. Mattson (Reg. No. 35,018)